

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Surgical Instruments for Accessing and Stabilizing a Localized Portion of a Beating Heart**

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application

Serial No. \_

on \_

and was amended

on \_\_\_\_\_ (if applicable).

☒ was filed as PCT international application

Number PCT/US00/12239

on 4 May 2000,

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**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
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09/305,803	04 May 1999	X		
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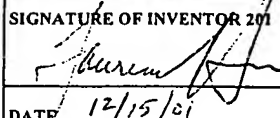
Send Correspondence to:  
**BOZICEVIC, FIELD & FRANCIS LLP**  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

Direct Telephone Calls to:  
(name and telephone number)  
Name: Alan W. Cannon  
Registration No. 34,977  
Telephone: (650) 327-3400

201	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		<b>Hu /</b>	<b>Lawrence</b>	<b>W.</b>
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		<b>Santa Clara</b>	<b>California</b>	<b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		<b>2622 Wallace Street</b>	<b>Santa Clara</b>	<b>California 95051</b>
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		<b>Paul /</b>	<b>David</b>	<b>J.</b>
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204	FULL NAME OF INVENTOR	FAMILY NAME <b>Green</b>	FIRST GIVEN NAME <b>Harry</b>	SECOND GIVEN NAME <b>Leonard II</b>
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206	FULL NAME OF INVENTOR	FAMILY NAME <b>Morejohn</b>	FIRST GIVEN NAME <b>Dwight</b>	SECOND GIVEN NAME <b>P.</b>
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DATE <b>12/15/01</b>	DATE	DATE
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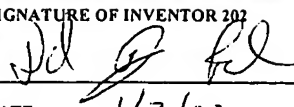
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DATE	DATE <b>1/2/02</b>	DATE
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	RESIDENCE & CITIZENSHIP	CITY <b>Davis</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>731 North Campus Way</b>	CITY <b>Davis</b>	STATE & ZIP CODE/COUNTRY <b>California 95616</b>
207	FULL NAME OF INVENTOR	FAMILY NAME <b>Taylor</b>	FIRST GIVEN NAME <b>Charles</b>	SECOND GIVEN NAME <b>S.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>San Francisco</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>4380 25<sup>th</sup> Street</b>	CITY <b>San Francisco</b>	STATE & ZIP CODE/COUNTRY <b>California 94131</b>
208	FULL NAME OF INVENTOR	FAMILY NAME <b>Weller</b>	FIRST GIVEN NAME <b>Gary</b>	SECOND GIVEN NAME <b>B.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Los Gatos</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>15570 El Gato Lane</b>	CITY <b>Los Gatos</b>	STATE & ZIP CODE/COUNTRY <b>California 95032</b>
209	FULL NAME OF INVENTOR	FAMILY NAME <b>Ferrari</b>	FIRST GIVEN NAME <b>Richard</b>	SECOND GIVEN NAME <b>M.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Saratoga</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>19575 Three Oaks Way</b>	CITY <b>Saratoga</b>	STATE & ZIP CODE/COUNTRY <b>California 95070</b>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203 <i>Eugene Edward Reis</i>
DATE	DATE	DATE <i>Jan. 65-02</i>
SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206
DATE	DATE	DATE
SIGNATURE OF INVENTOR 207	SIGNATURE OF INVENTOR 208	SIGNATURE OF INVENTOR 209
DATE	DATE	DATE

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Surgical Instruments for Accessing and Stabilizing a Localized Portion of a Beating Heart**

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application

Serial No. \_

on \_

and was amended

on \_\_\_\_\_ (if applicable).

☒ was filed as PCT international application

Number PCT/US00/12239

on 4 May 2000,

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**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, '120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, '112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, '1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

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U.S. APPLICATIONS		STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
09/305,803	04 May 1999	X		
09/305,810	04 May 1999		X	
09/305,811	04 May 1999	X		
09/305,813	04 May 1999		X	
09/372,661	11 August 1999			X

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Karl Bozicevic, Reg. No. 28,807  
Bret E. Field, Reg. No. 37,620  
Paula A. Borden, Reg. No. 42,344  
Alan W. Cannon, Reg. No. 34,977  
Robert Hall, Reg. No. 39,209  
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Carol L. Francis, Reg. No. 36,513  
Pamela J. Sherwood, Reg. No. 36,677  
Carol M. LaSalle, Reg. No. 39,740  
Kathleen Hall, Reg. No. 44,143  
David Phinney, Reg. No. 48,364

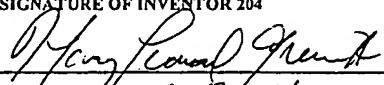
Send Correspondence to:  
**BOZICEVIC, FIELD & FRANCIS LLP**  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

Direct Telephone Calls to:  
(name and telephone number)  
Name: Alan W. Cannon  
Registration No. 34,977  
Telephone: (650) 327-3400

201	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Hu	Lawrence	W.
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Santa Clara	California	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		2622 Wallace Street	Santa Clara	California 95051
202	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Paul	David	J.
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Scotts Valley	California	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		885 Cadillac Drive	Scotts Valley	California 95066
203	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Reis	Eugene	Edward
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		San Jose	California	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		90 Rankin Avenue	San Jose	California 95110

204	FULL NAME OF INVENTOR	FAMILY NAME <b>Green</b>	FIRST GIVEN NAME <b>Harry</b>	SECOND GIVEN NAME <b>Leonard II</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Santa Cruz</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>2464 Glen Canyon Road</b>	CITY <b>Santa Cruz</b>	STATE & ZIP CODE/COUNTRY <b>California 95066</b>
205	FULL NAME OF INVENTOR	FAMILY NAME <b>Wallin</b>	FIRST GIVEN NAME <b>Joshua</b>	SECOND GIVEN NAME <b>K.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Cupertino</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
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	RESIDENCE & CITIZENSHIP	CITY <b>Davis</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>731 North Campus Way</b>	CITY <b>Davis</b>	STATE & ZIP CODE/COUNTRY <b>California 95616</b>
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	RESIDENCE & CITIZENSHIP	CITY <b>San Francisco</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
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	RESIDENCE & CITIZENSHIP	CITY <b>Los Gatos</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
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	RESIDENCE & CITIZENSHIP	CITY <b>Saratoga</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
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SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
DATE	DATE	DATE
SIGNATURE OF INVENTOR 204 	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206
DATE <b>12-13-01</b>	DATE	DATE
SIGNATURE OF INVENTOR 207	SIGNATURE OF INVENTOR 208	SIGNATURE OF INVENTOR 209
DATE	DATE	DATE

MF\FORMS\COMDEC.WP 5/96

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**Surgical Instruments for Accessing and Stabilizing a Localized Portion of a Beating Heart**

the specification of which (check only one item below):

☐ is attached hereto.

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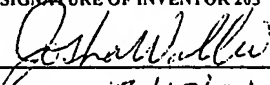
PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

<b>Combined Declaration For Patent Application and Power of Attorney (Continued)</b> (Includes Reference to PCT International Applications)				<b>ATTORNEY'S DOCKET NUMBER GUID011CIP3</b>	
I hereby claim the benefit under Title 35, United States Code, '120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, '112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, '1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:					
<b>PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:</b>					
U.S. APPLICATIONS				STATUS (Check one)	
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED	
09/305,803	04 May 1999	X			
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Karl Bozicevic, Reg. No. 28,807 Bret E. Field, Reg. No. 37,620 Paula A. Borden, Reg. No. 42,344 Alan W. Cannon, Reg. No. 34,977 Robert Hall, Reg. No. 39,209 Frank J. Becking, Reg. No. 42,309			Carol L. Francis, Reg. No. 36,513 Pamela J. Sherwood, Reg. No. 36,677 Carol M. LaSalle, Reg. No. 39,740 Kathleen Hall, Reg. No. 44,143 David Phinney, Reg. No. 48,364		
<b>Send Correspondence to:</b> <b>BOZICEVIC, FIELD &amp; FRANCIS LLP</b> 200 Middlefield Road, Suite 200 Menlo Park, CA 94025 Telephone: (650) 327-3400 Facsimile: (650) 327-3231				<b>Direct Telephone Calls to:</b> <i>(name and telephone number)</i>  Name: Alan W. Cannon Registration No. 34,977 Telephone: (650) 327-3400	
201	FULL NAME OF INVENTOR	FAMILY NAME <b>Hu</b>	FIRST GIVEN NAME <b>Lawrence</b>	SECOND GIVEN NAME <b>W.</b>	
	RESIDENCE & CITIZENSHIP	CITY <b>Santa Clara</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>	
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>2622 Wallace Street</b>	CITY <b>Santa Clara</b>	STATE & ZIP CODE/COUNTRY <b>California 95051</b>	
202	FULL NAME OF INVENTOR	FAMILY NAME <b>Paul</b>	FIRST GIVEN NAME <b>David</b>	SECOND GIVEN NAME <b>J.</b>	
	RESIDENCE & CITIZENSHIP	CITY <b>Scotts Valley</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>	
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203	FULL NAME OF INVENTOR	FAMILY NAME <b>Reis</b>	FIRST GIVEN NAME <b>Eugene</b>	SECOND GIVEN NAME <b>Edward</b>	
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	RESIDENCE & CITIZENSHIP	CITY <b>Saratoga</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
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SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
DATE	DATE	DATE
SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205 	SIGNATURE OF INVENTOR 206
DATE	DATE <b>12/13/01</b>	DATE
SIGNATURE OF INVENTOR 207	SIGNATURE OF INVENTOR 208	SIGNATURE OF INVENTOR 209
DATE	DATE	DATE

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Menlo Park, CA 94025  
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Name: Alan W. Cannon  
Registration No. 34,977  
Telephone: (650) 327-3400

201	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Hu	Lawrence	W.
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Santa Clara	California	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		2622 Wallace Street	Santa Clara	California 95051
202	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Paul	David	J.
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Scotts Valley	California	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		885 Cadillac Drive	Scotts Valley	California 95066
203	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Reis	Eugene	Edward
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		San Jose	California	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		90 Rankin Avenue	San Jose	California 95110

204	FULL NAME OF INVENTOR	FAMILY NAME <b>Green</b>	FIRST GIVEN NAME <b>Harry</b>	SECOND GIVEN NAME <b>Leonard II</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Santa Cruz</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>2464 Glen Canyon Road</b>	CITY <b>Santa Cruz</b>	STATE & ZIP CODE/COUNTRY <b>California 95066</b>
205	FULL NAME OF INVENTOR	FAMILY NAME <b>Wallin</b>	FIRST GIVEN NAME <b>Joshua</b>	SECOND GIVEN NAME <b>K.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Cupertino</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>10358 Alpine Dr. #1</b>	CITY <b>Cupertino</b>	STATE & ZIP CODE/COUNTRY <b>California 95014</b>
206	FULL NAME OF INVENTOR	FAMILY NAME <b>Morejohn</b>	FIRST GIVEN NAME <b>Dwight</b>	SECOND GIVEN NAME <b>P.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Davis</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>731 North Campus Way</b>	CITY <b>Davis</b>	STATE & ZIP CODE/COUNTRY <b>California 95616</b>
207	FULL NAME OF INVENTOR	FAMILY NAME <b>Taylor</b>	FIRST GIVEN NAME <b>Charles</b>	SECOND GIVEN NAME <b>S.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>San Francisco</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>4380 25<sup>th</sup> Street</b>	CITY <b>San Francisco</b>	STATE & ZIP CODE/COUNTRY <b>California 94131</b>
208	FULL NAME OF INVENTOR	FAMILY NAME <b>Weller</b>	FIRST GIVEN NAME <b>Gary</b>	SECOND GIVEN NAME <b>B.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Los Gatos</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>15570 El Gato Lane</b>	CITY <b>Los Gatos</b>	STATE & ZIP CODE/COUNTRY <b>California 95032</b>
209	FULL NAME OF INVENTOR	FAMILY NAME <b>Ferrari</b>	FIRST GIVEN NAME <b>Richard</b>	SECOND GIVEN NAME <b>M.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Saratoga</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>19575 Three Oaks Way</b>	CITY <b>Saratoga</b>	STATE & ZIP CODE/COUNTRY <b>California 95070</b>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
DATE	DATE	DATE
SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206 <i>Dwight P. Morejohn</i>
DATE	DATE	DATE <i>2-6-02 (Feb 6, 2002)</i>
SIGNATURE OF INVENTOR 207	SIGNATURE OF INVENTOR 208	SIGNATURE OF INVENTOR 209
DATE	DATE	DATE

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Surgical Instruments for Accessing and Stabilizing a Localized Portion of a Beating Heart**

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application

Serial No. \_

on \_

and was amended

on \_\_\_\_\_ (if applicable).

☒ was filed as PCT international application

Number PCT/US00/12239

on 4 May 2000,

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, '1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, '119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, '120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, '112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, '1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:**

U.S. APPLICATIONS		STATUS <i>(Check one)</i>		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
09/305,803	04 May 1999	X		
09/305,810	04 May 1999		X	
09/305,811	04 May 1999	X		
09/305,813	04 May 1999		X	
09/372,661	11 August 1999			X

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(List name and registration number)*

Karl Bozicevic, Reg. No. 28,807 Bret E. Field, Reg. No. 37,620 Paula A. Borden, Reg. No. 42,344 Alan W. Cannon, Reg. No. 34,977 Robert Hall, Reg. No. 39,209 Frank J. Becking, Reg. No. 42,309	Carol L. Francis, Reg. No. 36,513 Pamela J. Sherwood, Reg. No. 36,677 Carol M. LaSalle, Reg. No. 39,740 Kathleen Hall, Reg. No. 44,143 David Phinney, Reg. No. 48,364
---	---

<b>Send Correspondence to:</b> <b>BOZICEVIC, FIELD &amp; FRANCIS LLP</b> 200 Middlefield Road, Suite 200 Menlo Park, CA 94025 Telephone: (650) 327-3400 Facsimile: (650) 327-3231	<b>Direct Telephone Calls to:</b> <i>(name and telephone number)</i>  Name: Alan W. Cannon Registration No. 34,977 Telephone: (650) 327-3400
--	---

201	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Hu	Lawrence	W.
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Santa Clara	California	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		2622 Wallace Street	Santa Clara	California 95051
202	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Paul	David	J.
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		Scotts Valley	California	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		885 Cadillac Drive	Scotts Valley	California 95066
203	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		Reis	Eugene	Edward
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		San Jose	California	U.S.A.
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		90 Rankin Avenue	San Jose	California 95110

204	FULL NAME OF INVENTOR	FAMILY NAME <b>Green</b>	FIRST GIVEN NAME <b>Harry</b>	SECOND GIVEN NAME <b>Leonard II</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Santa Cruz</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>2464 Glen Canyon Road</b>	CITY <b>Santa Cruz</b>	STATE & ZIP CODE/COUNTRY <b>California 95066</b>
205	FULL NAME OF INVENTOR	FAMILY NAME <b>Wallin</b>	FIRST GIVEN NAME <b>Joshua</b>	SECOND GIVEN NAME <b>K.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Cupertino</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
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206	FULL NAME OF INVENTOR	FAMILY NAME <b>Morejohn</b>	FIRST GIVEN NAME <b>Dwight</b>	SECOND GIVEN NAME <b>P.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Davis</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>731 North Campus Way</b>	CITY <b>Davis</b>	STATE & ZIP CODE/COUNTRY <b>California 95616</b>
207	FULL NAME OF INVENTOR	FAMILY NAME <b>Taylor</b>	FIRST GIVEN NAME <b>Charles</b>	SECOND GIVEN NAME <b>S.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>San Francisco</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>4380 25<sup>th</sup> Street</b>	CITY <b>San Francisco</b>	STATE & ZIP CODE/COUNTRY <b>California 94131</b>
208	FULL NAME OF INVENTOR	FAMILY NAME <b>Weller</b>	FIRST GIVEN NAME <b>Gary</b>	SECOND GIVEN NAME <b>B.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Los Gatos</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>15570 El Gato Lane</b>	CITY <b>Los Gatos</b>	STATE & ZIP CODE/COUNTRY <b>California 95032</b>
209	FULL NAME OF INVENTOR	FAMILY NAME <b>Ferrari</b>	FIRST GIVEN NAME <b>Richard</b>	SECOND GIVEN NAME <b>M.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Saratoga</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>19575 Three Oaks Way</b>	CITY <b>Saratoga</b>	STATE & ZIP CODE/COUNTRY <b>California 95070</b>

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SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
DATE	DATE	DATE
SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206
DATE	DATE	DATE
SIGNATURE OF INVENTOR 207 <i>Charles S. Taylor</i>	SIGNATURE OF INVENTOR 208	SIGNATURE OF INVENTOR 209
DATE <i>December 17, 2001</i>	DATE	DATE

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Surgical Instruments for Accessing and Stabilizing a Localized Portion of a Beating Heart**

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application

Serial No. \_

on \_

and was amended

on \_\_\_\_\_ (if applicable).

☒ was filed as PCT international application

Number PCT/US00/12239

on 4 May 2000,

and was amended under PCT Article 19

on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

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PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (if PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, '120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, '112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, '1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:**

U.S. APPLICATIONS		STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
09/305,803	04 May 1999	X		
09/305,810	04 May 1999		X	
09/305,811	04 May 1999	X		
09/305,813	04 May 1999		X	
09/372,661	11 August 1999			X

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List name and registration number)

Karl Bozicevic, Reg. No. 28,807  
Bret E. Field, Reg. No. 37,620  
Paula A. Borden, Reg. No. 42,344  
Alan W. Cannon, Reg. No. 34,977  
Robert Hall, Reg. No. 39,209  
Frank J. Becking, Reg. No. 42,309

Carol L. Francis, Reg. No. 36,513  
Pamela J. Sherwood, Reg. No. 36,677  
Carol M. LaSalle, Reg. No. 39,740  
Kathleen Hall, Reg. No. 44,143  
David Phinney, Reg. No. 48,364

Send Correspondence to:  
**BOZICEVIC, FIELD & FRANCIS LLP**  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231


Direct Telephone Calls to:  
(name and telephone number)

Name: Alan W. Cannon  
Registration No. 34,977  
Telephone: (650) 327-3400

201	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		<b>Hu</b>	<b>Lawrence</b>	<b>W.</b>
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		<b>Santa Clara</b>	<b>California</b>	<b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		<b>2622 Wallace Street</b>	<b>Santa Clara</b>	<b>California 95051</b>
202	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		<b>Paul</b>	<b>David</b>	<b>J.</b>
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		<b>Scotts Valley</b>	<b>California</b>	<b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		<b>885 Cadillac Drive</b>	<b>Scotts Valley</b>	<b>California 95066</b>
203	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME
		<b>Reis</b>	<b>Eugene</b>	<b>Edward</b>
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
		<b>San Jose</b>	<b>California</b>	<b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
		<b>90 Rankin Avenue</b>	<b>San Jose</b>	<b>California 95110</b>

204	FULL NAME OF INVENTOR	FAMILY N. <b>Green</b>	FIRST GIVEN NAME <b>Harry</b>	SECOND GIVEN NAME <b>Leonard II</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Santa Cruz</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>2464 Glen Canyon Road</b>	CITY <b>Santa Cruz</b>	STATE & ZIP CODE/COUNTRY <b>California 95066</b>
205	FULL NAME OF INVENTOR	FAMILY NAME <b>Wallin</b>	FIRST GIVEN NAME <b>Joshua</b>	SECOND GIVEN NAME <b>K.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Cupertino</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>10358 Alpine Dr. #1</b>	CITY <b>Cupertino</b>	STATE & ZIP CODE/COUNTRY <b>California 95014</b>
206	FULL NAME OF INVENTOR	FAMILY NAME <b>Morejohn</b>	FIRST GIVEN NAME <b>Dwight</b>	SECOND GIVEN NAME <b>P.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>Davis</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>731 North Campus Way</b>	CITY <b>Davis</b>	STATE & ZIP CODE/COUNTRY <b>California 95616</b>
207	FULL NAME OF INVENTOR	FAMILY NAME <b>Taylor</b>	FIRST GIVEN NAME <b>Charles</b>	SECOND GIVEN NAME <b>S.</b>
	RESIDENCE & CITIZENSHIP	CITY <b>San Francisco</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>4380 25<sup>th</sup> Street</b>	CITY <b>San Francisco</b>	STATE & ZIP CODE/COUNTRY <b>California 94131</b>
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	RESIDENCE & CITIZENSHIP	CITY <b>Los Gatos</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
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	RESIDENCE & CITIZENSHIP	CITY <b>Saratoga</b>	STATE OR FOREIGN COUNTRY <b>California</b>	COUNTRY OF CITIZENSHIP <b>U.S.A.</b>
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SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
DATE	DATE	DATE
SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206
DATE	DATE	DATE
SIGNATURE OF INVENTOR 207	SIGNATURE OF INVENTOR 208 	SIGNATURE OF INVENTOR 209
DATE	DATE <b>12-18-01</b>	DATE



As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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on \_\_\_\_\_ (if applicable).

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			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, '120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, '112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, '1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

**PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:**

U.S. APPLICATIONS		STATUS (Check one)		
U.S. APPLICATION NUMBER	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
09/305,803	04 May 1999	X		
09/305,810	04 May 1999		X	
09/305,811	04 May 1999	X		
09/305,813	04 May 1999		X	
09/372,661	11 August 1999			X

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (*List name and registration number*)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201	SIGNATURE OF INVENTOR 202	SIGNATURE OF INVENTOR 203
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DATE	DATE	DATE
SIGNATURE OF INVENTOR 207	SIGNATURE OF INVENTOR 208	SIGNATURE OF INVENTOR 209
DATE	DATE	DATE <i>2-28-02</i>